

# Stochastic Approach in Approximation of the Transient Plasma Sheath Behavior in FEM

**Jozef Brcka\***

**TEL US Holdings, Inc., Technology Development Center**

\*Corresponding author: 255 Fuller Rd., Albany, NY 12203,  
jozef.brcka@us.tel.com



## Abstract

Recently, the advanced plasma tools have been using very high frequency power sources ( $>100$  MHz) and their combination to excite plasma utilized in semiconductor technology. This approach is evoking the regimes that are less understood and currently a subject to many studies and experimental investigations. The paper describes quasi-stochastic approach applied for sheath properties and used in dual frequency ( $f_1 \gg f_2$ ) capacitively coupled plasma transient simulations. The initial phase of these modeling activities and investigations shown a good numerical stability of a computational scheme. The validation of a proposed numerical model and its equivalence to full transient solution are discussed.

## Outline

- **CCP model formulation and requirements**
- **Single frequency model**
- **Transient model DF**
- **Stochastic model DF**
- **Validation stochastic against transient model**
- **Conclusions**



# CCP etching

## Motivation:

- Attractive capacitively coupled plasma performance at increased excitation frequency ~ 100 MHz
- Enhancement and control by a dual frequency implementation ~ 2 MHz

Hardware  
variation

Chemistry  
variation

Industrial level  
implementation

flexible, reliable, comprehensive means and  
approach to investigate

Computational characterization

of the plasma, reaction chemistry and etch performance





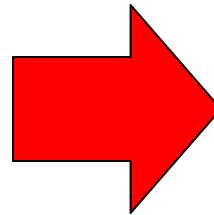
## Business requirements

- optimal solutions at increased complexity of the process and expectations towards the tool performance
- integration with CAD, engineering tools
- minimize interference from the 3<sup>rd</sup> party, operations, cost, ....
- quick turnaround & feedback

## Physics & modeling requirements

- provide mutual coupling between
  - various model components & variables, physics & chemistry
  - ... and still convergent
- self-consistent approach
- additional capabilities ... extension up to 3D

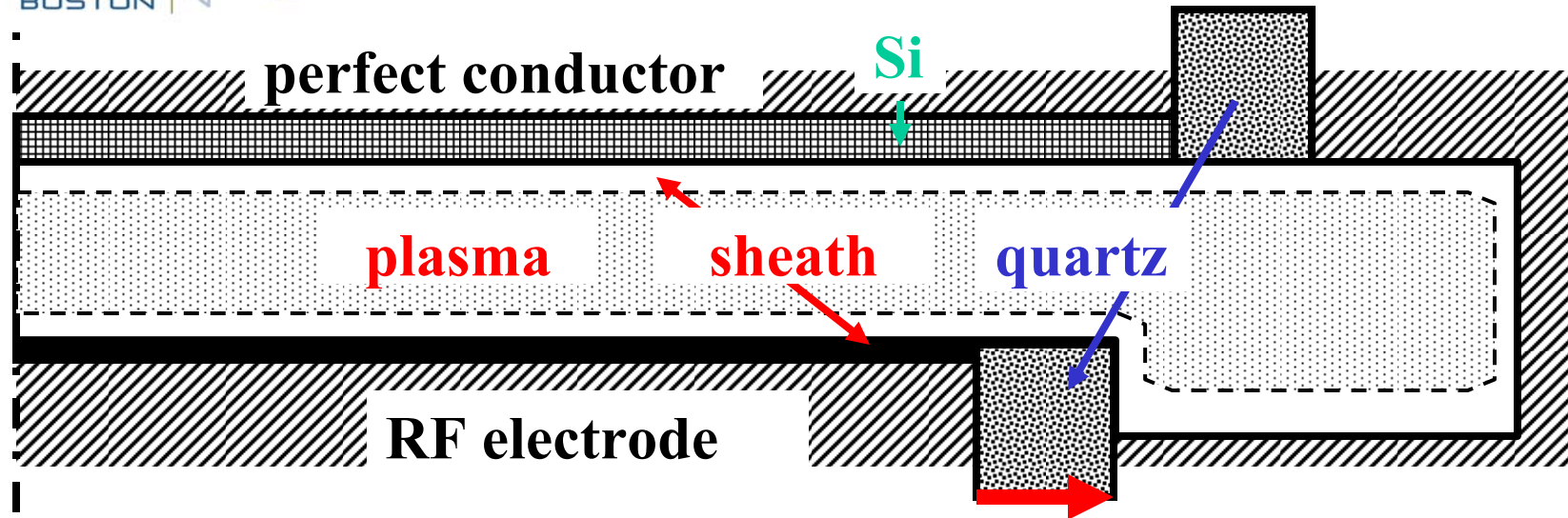
In past experiencing with various codes & sw packages ...



**FEM** approach



## Plasma model features – 2D axial symmetry



- **Formulated CCP Plasma Fluid Model**

- plasma species transport by an ambipolar drift – diffusion interpretation<sup>1)</sup> superimposed over the actual gas flow

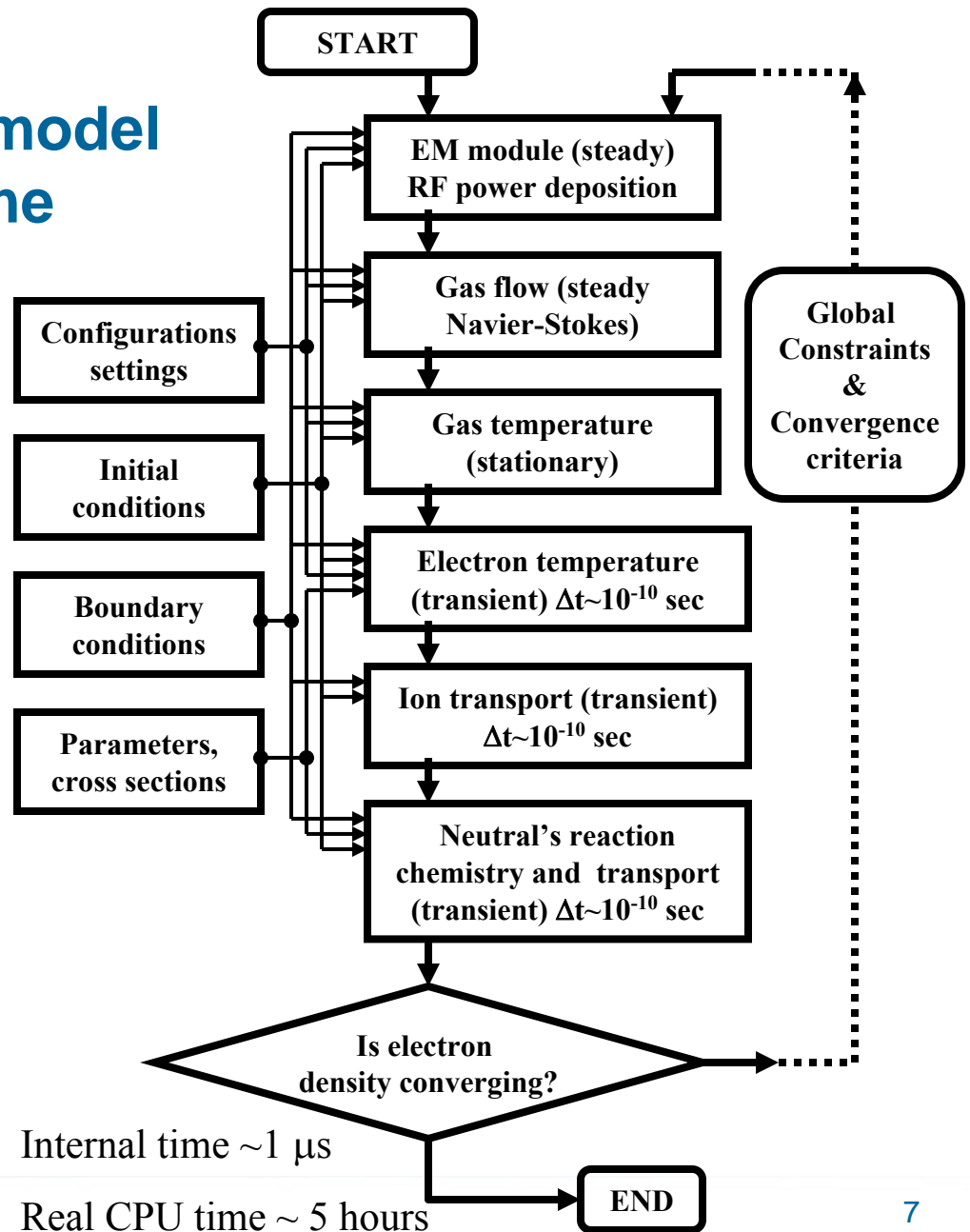
- single frequency excitation – averaged power through one RF period (high frequency)
- Bohm flux at plasma-sheath interface
- sheath model in “LGL” interpretation<sup>2)</sup>

<sup>1)</sup> M. A. Lieberman, A.J. Lichtenberg, *Principles of plasma discharges and materials processing*, 129, 327-388. John Wiley & Sons, New York (1994)

<sup>2)</sup> Lee I., Graves D.B. and Lieberman M.A, Modeling electromagnetic effects in capacitive discharges, *Plasma Sources Sci. Technol.*, 17, 1-16 (2008)

## Coupled model scheme

- **Single frequency 100 MHz**  
→ RF period ~ 10 ns
- Solving transient sub-modules in this scheme starts from numerical time steps  $\Delta t \sim 10^{-10}$  sec
- Convergence is occurring in internal times approximately  $t > 1 \mu\text{s}$  up to  $t \sim 100 \mu\text{s}$
- In terms of the CPU real time this is accomplished in 4-6 hours





## Coupled model scheme

- Equations

- The TM wave in 2D axial symmetry model has an electric field with components in  $r$ - $z$  plane and magnetic field with only azimuthal component, thus partial differential equation to be solved is

$$\nabla \times \left( \left( \epsilon_r - j \frac{\sigma}{\omega \epsilon_0} \right)^{-1} \nabla \times H_\phi \right) - \mu_r k_0^2 H_\phi = 0$$

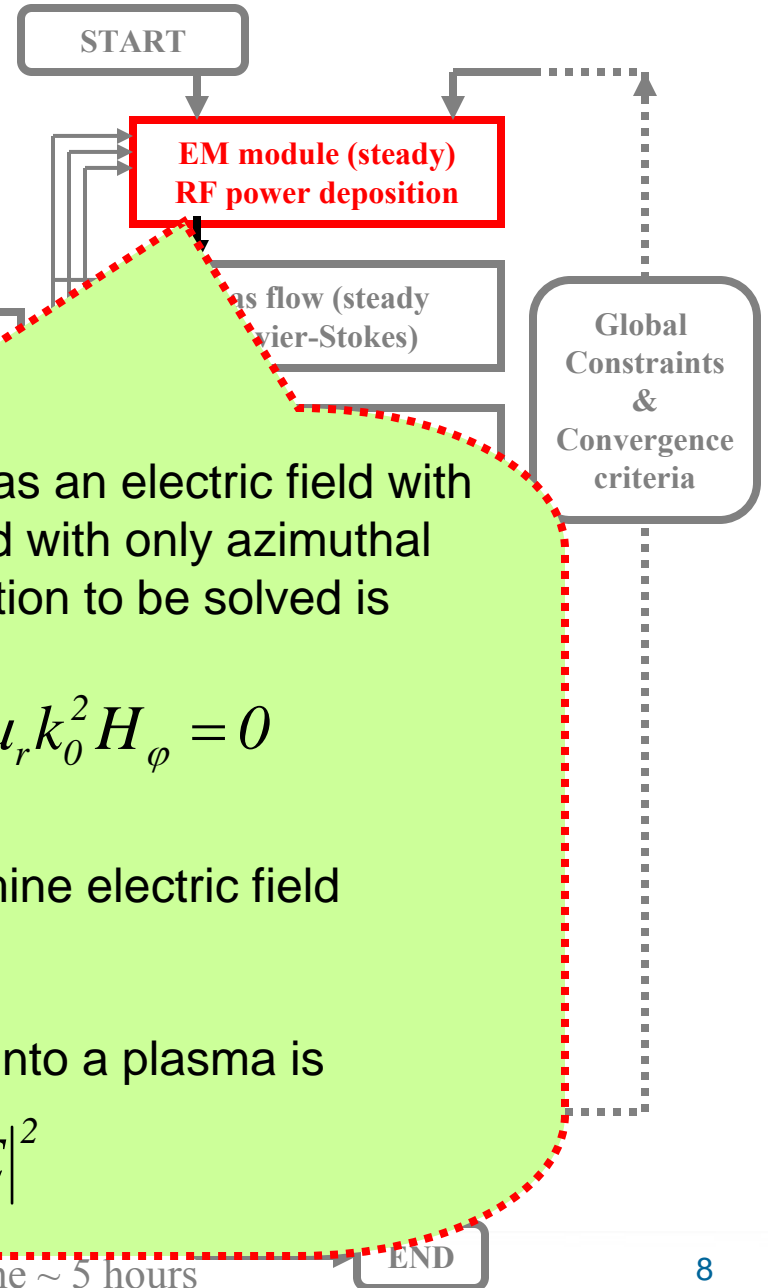
Solving this equation for  $H_\phi$  will determine electric field

$$H_\phi \Rightarrow (E_r, E_z)$$

Thus, formally, the power deposited into a plasma is

$$Q_{abs} = \frac{1}{2} \sigma_p |E|^2$$

Real CPU time ~ 5 hours





Gas flow assuming low Reynolds number and laminar flow in 2D axial symmetry geometry

$$\rho \frac{\partial \vec{u}}{\partial t} - \nabla \cdot \left[ -p\vec{I} + \eta(\nabla \vec{u} + (\nabla \vec{u})^T) \right] + \rho(\vec{u} \cdot \nabla)\vec{u} = \vec{F}$$

the mass continuity equation under incompressible gas assumption  $\nabla \cdot \vec{u} = 0$

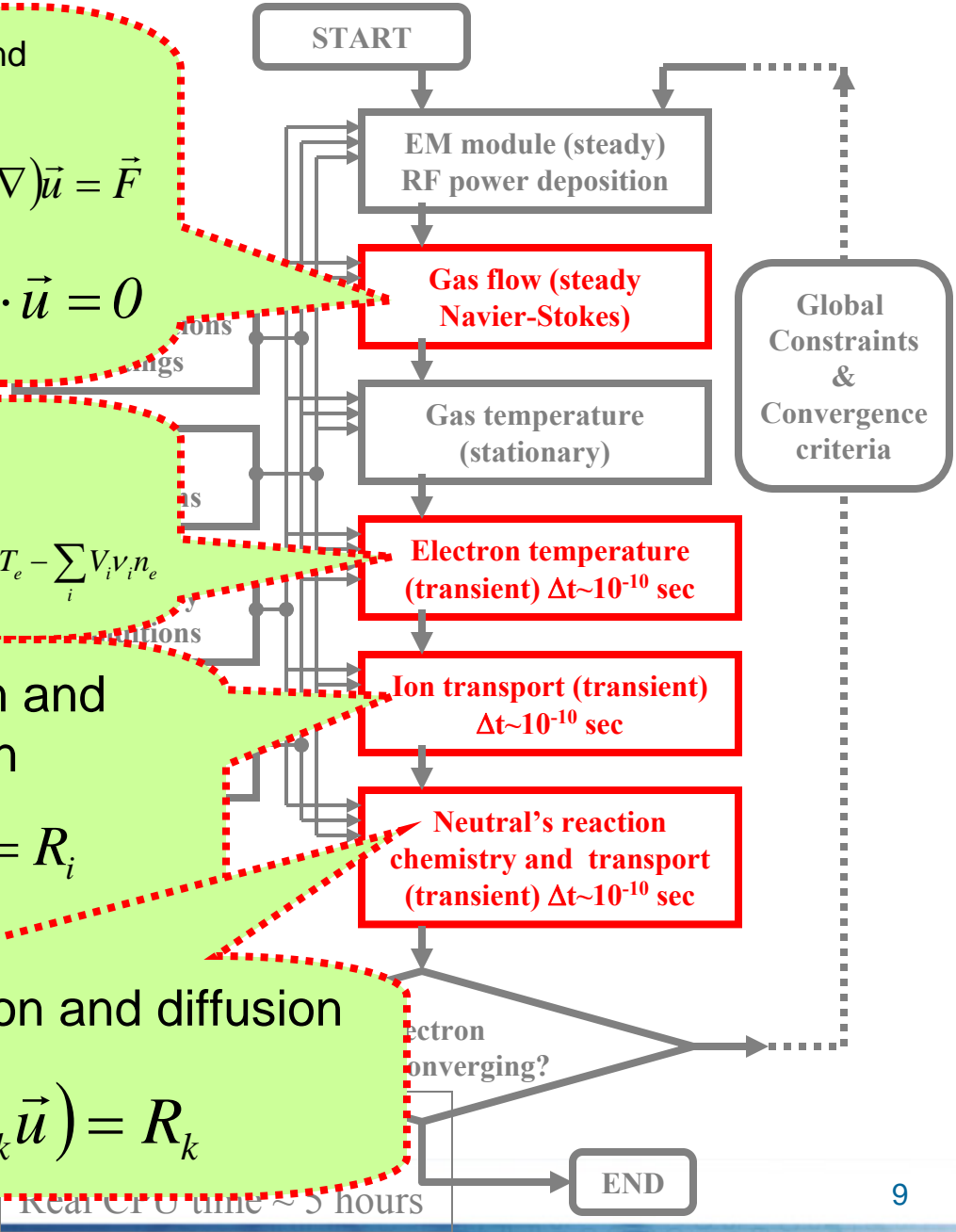
Energy conservation,  $T_e$

$$\frac{\partial}{\partial t} \left( \frac{3}{2} n_e T_e \right) + \nabla \cdot \left( \frac{5}{2} T_e \Gamma_e - k \nabla T_e \right) = Q_{abs} - e \Gamma_e E_a - \frac{3m}{M} v_m n_e T_e - \sum_i V_i v_i n_e$$

Ions transport by convection and ambipolar drift - diffusion

$$\frac{\partial n_i}{\partial t} + \nabla \cdot (-D_a \nabla n_i + n_i \vec{u}) = R_i$$

Neutrals transport by convection and diffusion

$$\nabla \cdot (-D_k \nabla n_k + n_k \vec{u}) = R_k$$


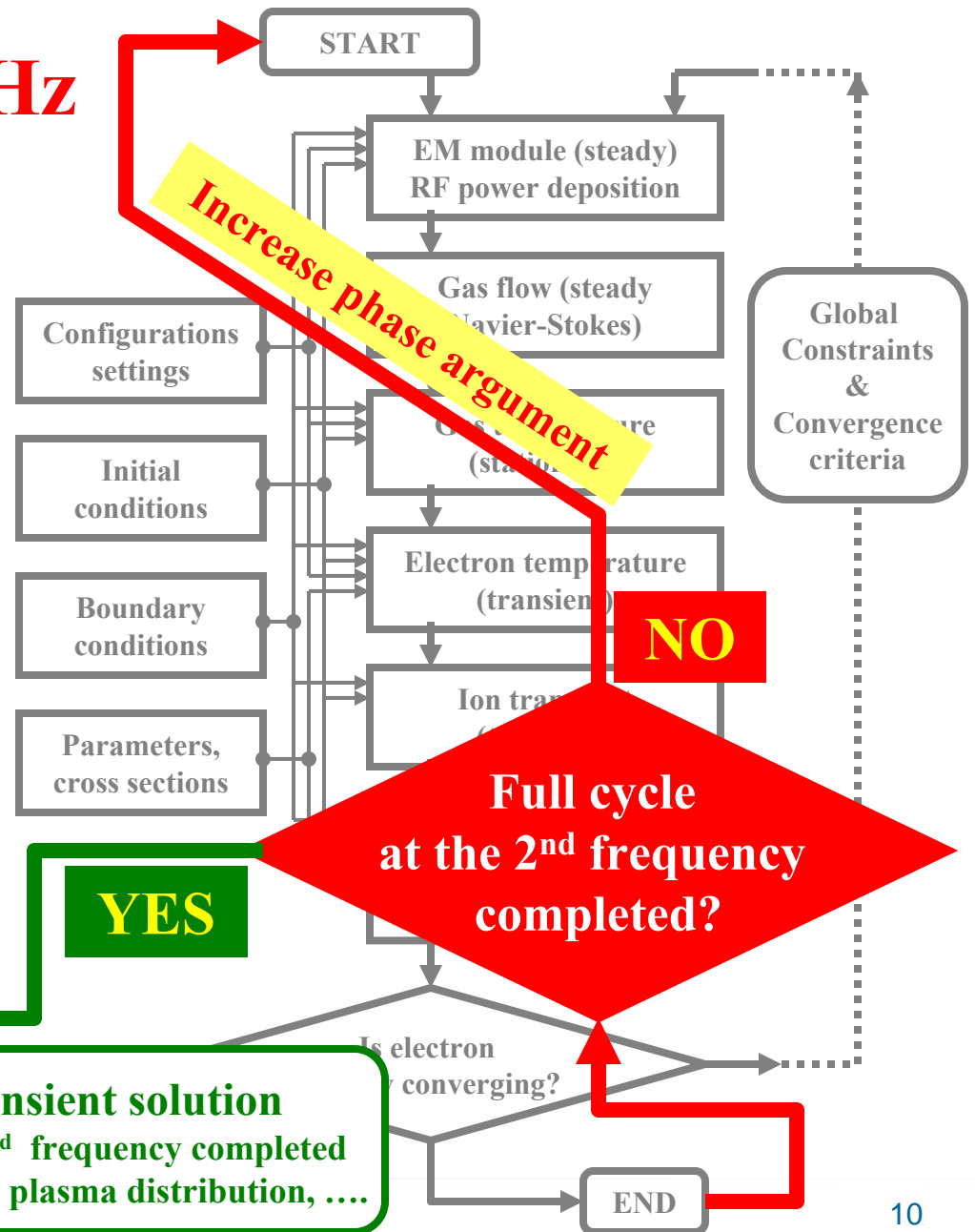
Real CPU time ~ 5 hours



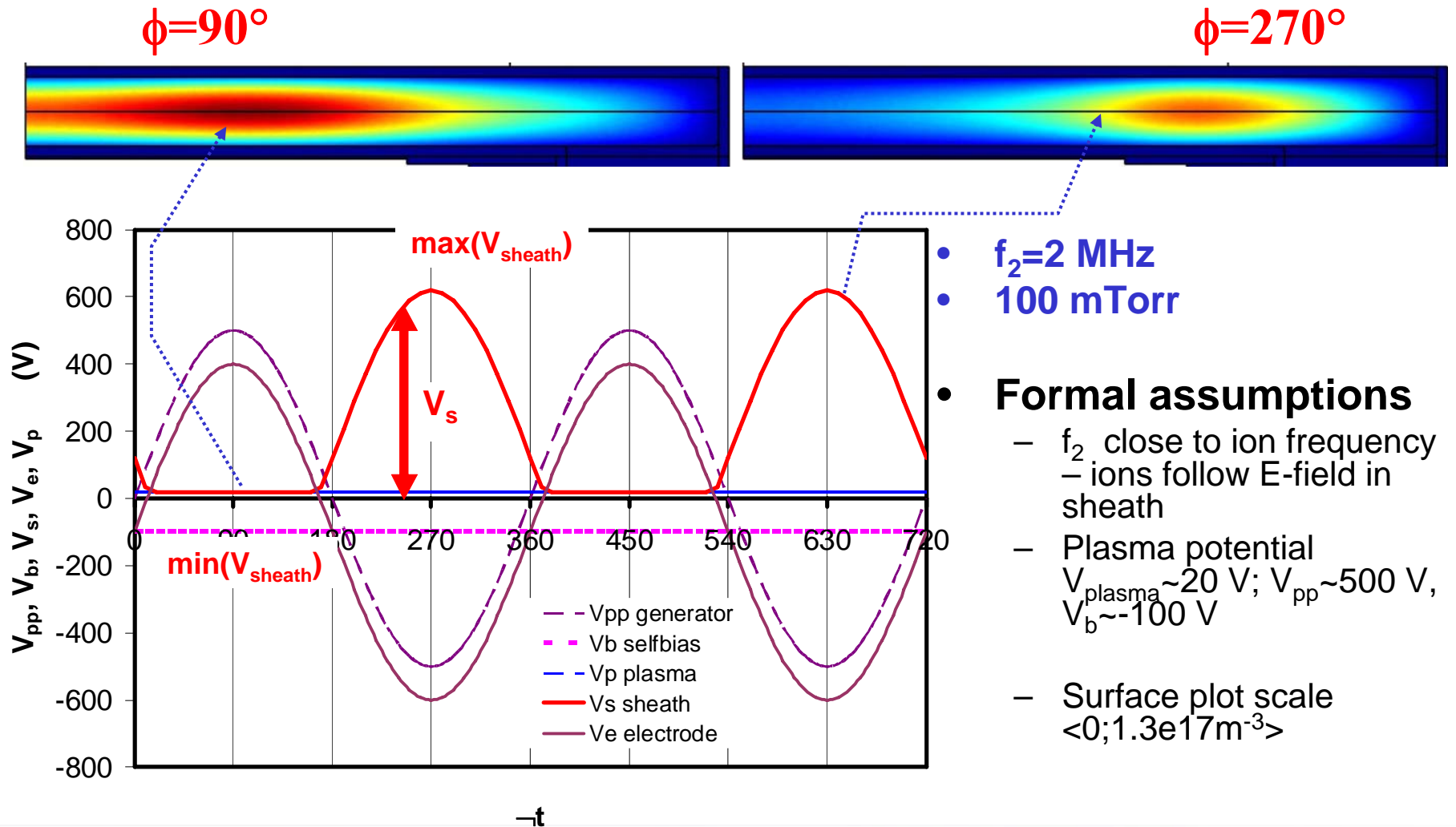
# Coupled model transient scheme

- Implementation of the 2<sup>nd</sup> frequency → additional loop at time scale ~ 500 ns (one period at 2 MHz)
- Single frequency model scheme → sub-block of the transient dual frequency scheme
- After straightforward implementation and time step ~5% (25 ns) of RF cycle it can be solved within ~ 100 hours

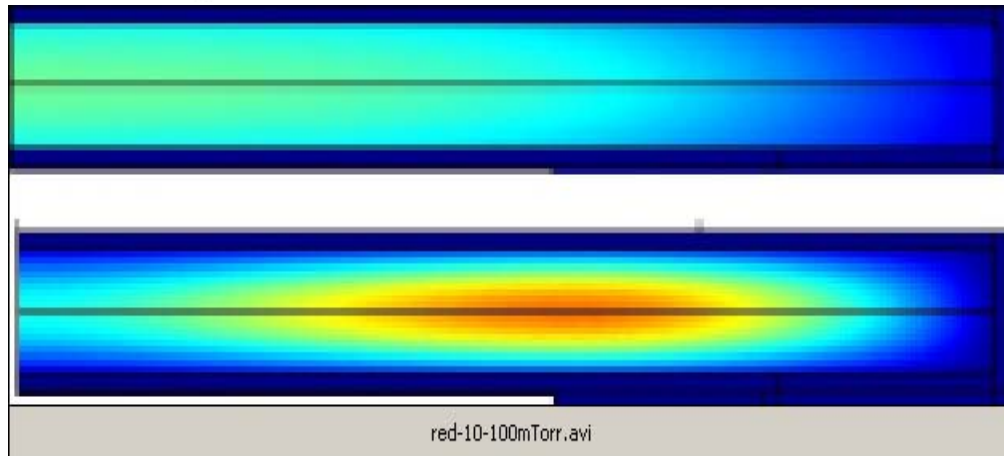
2 MHz



# Sheath voltage & electron density

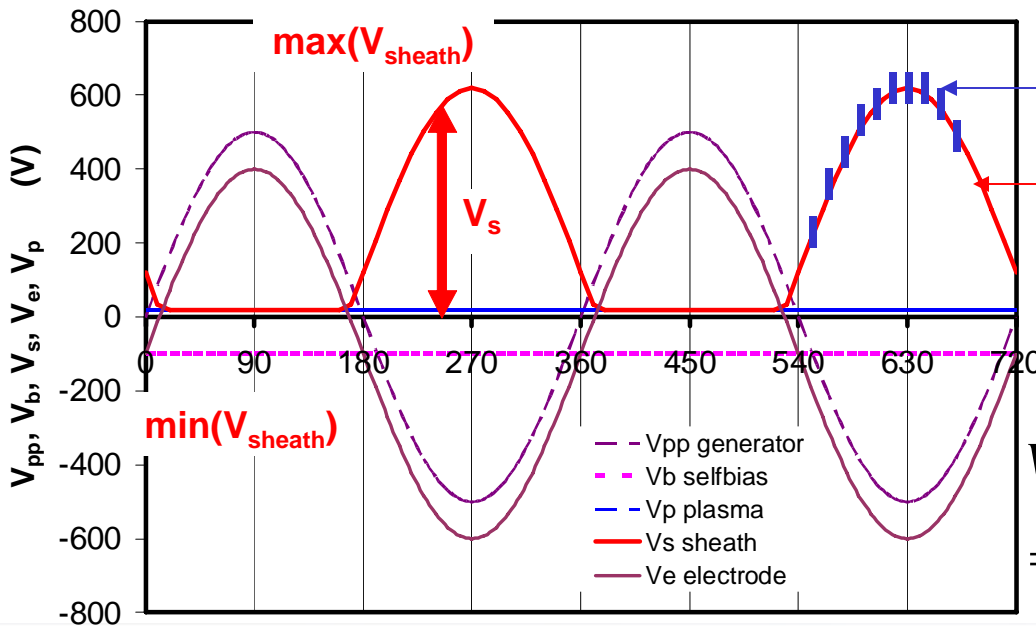


# Sheath voltage & electron density



10 mTorr

100 mTorr



$f_1 = 100 \text{ MHz}$

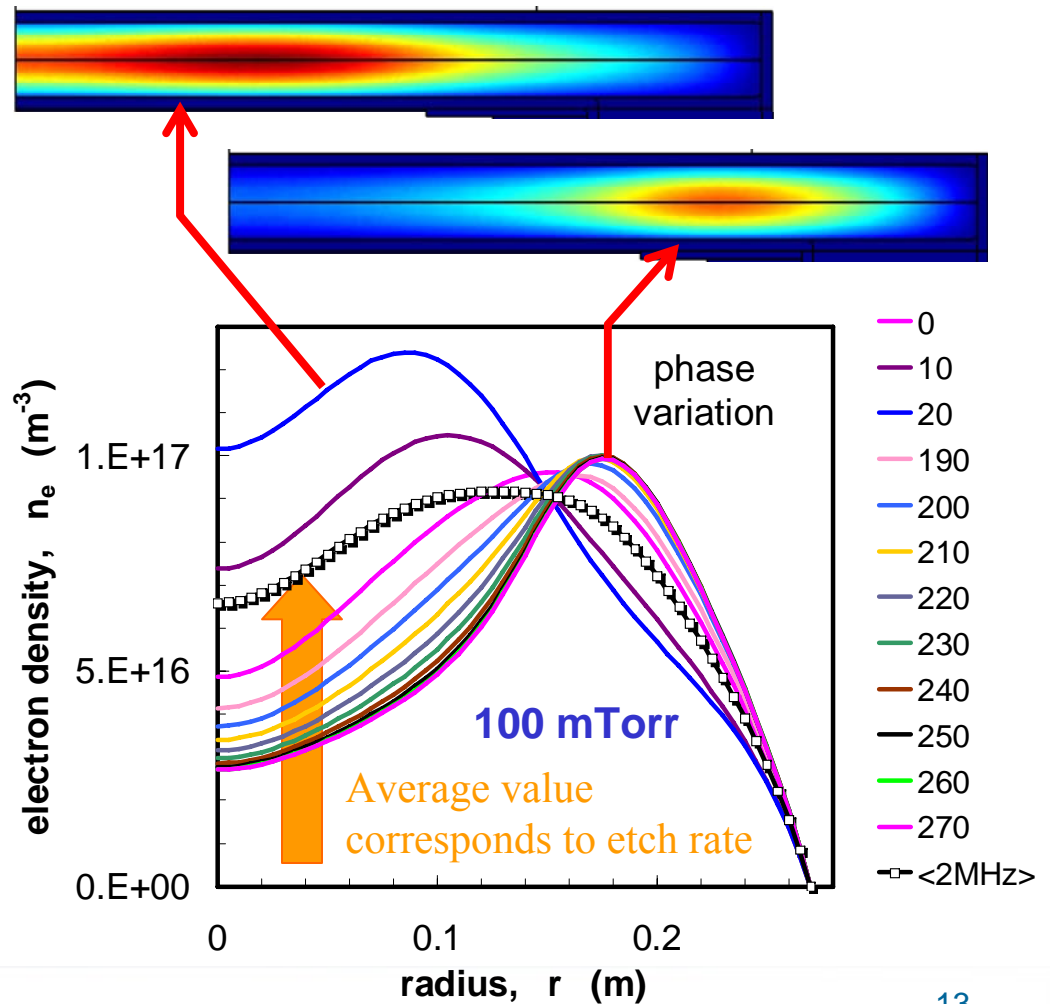
$f_2 = 2 \text{ MHz}$

$$V_s = V_p - V_{el} = \max\{V_p, V_p - [V_{RF} \sin(\omega_2 t) - |U_b|]\}$$



## Capacitively coupled plasma dual frequency reactor - 2D transient model

- **Simulation results on the dual frequency CCP can be achieved**
  - Plasma distribution is changing over the 2<sup>nd</sup> frequency cycle
- **BUT!** transient case requires **significant computational time resources**
- Flexibility of a modular system is allowing to generate specific approach and algorithms to speed up computation

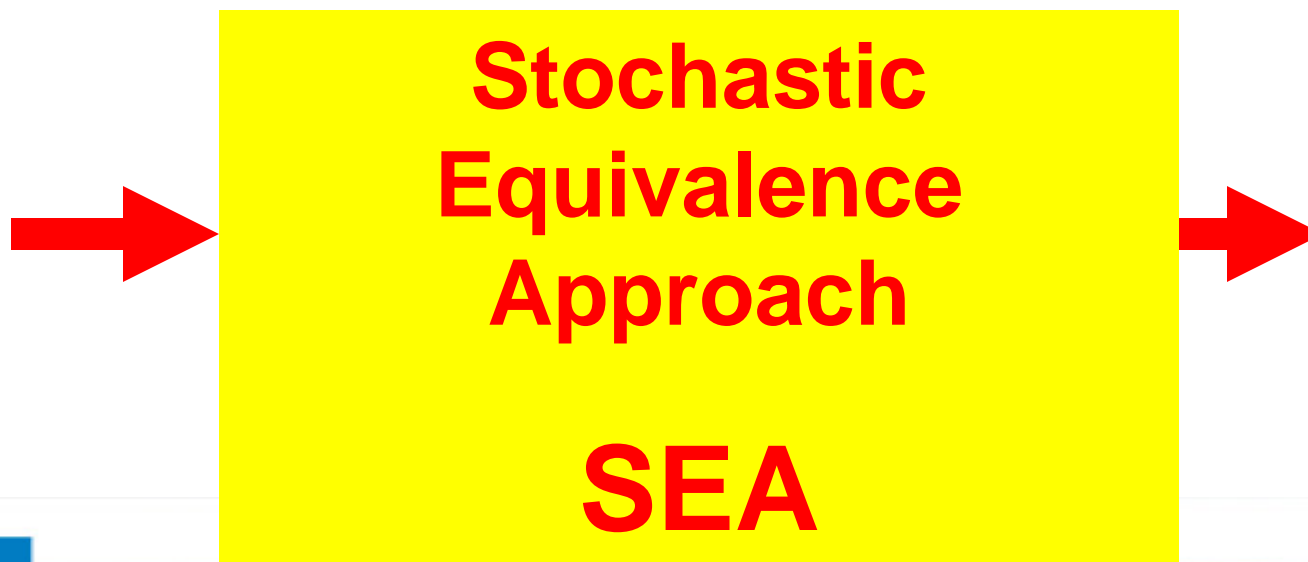


## Problem to solve ...

- **Computational time - major driving force for a new concepts**
- **Transient solution at the 2<sup>nd</sup> frequency**
  - Possible, but large time scale range → long real CPU time
  - Impractical / difficult to merge and accelerate development
- **Is there fast & accurate enough approach to be integrated into cost efficient workflow?**

## Novel idea to create fast computation model

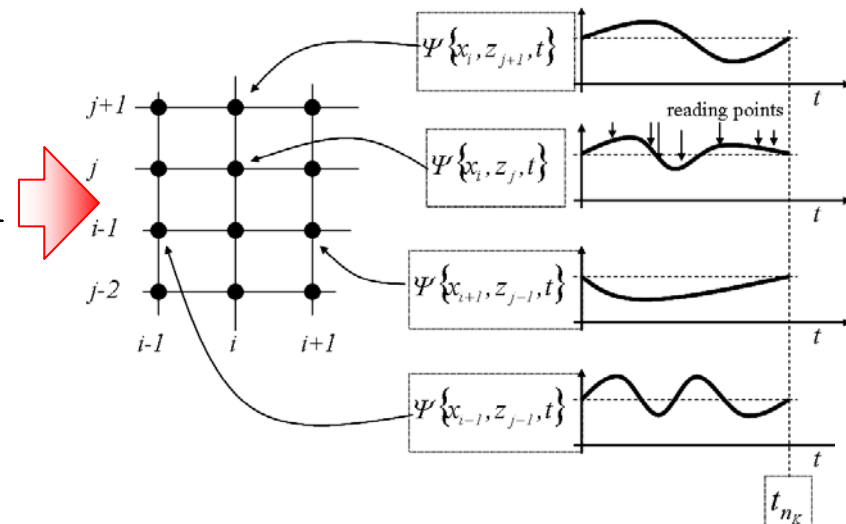
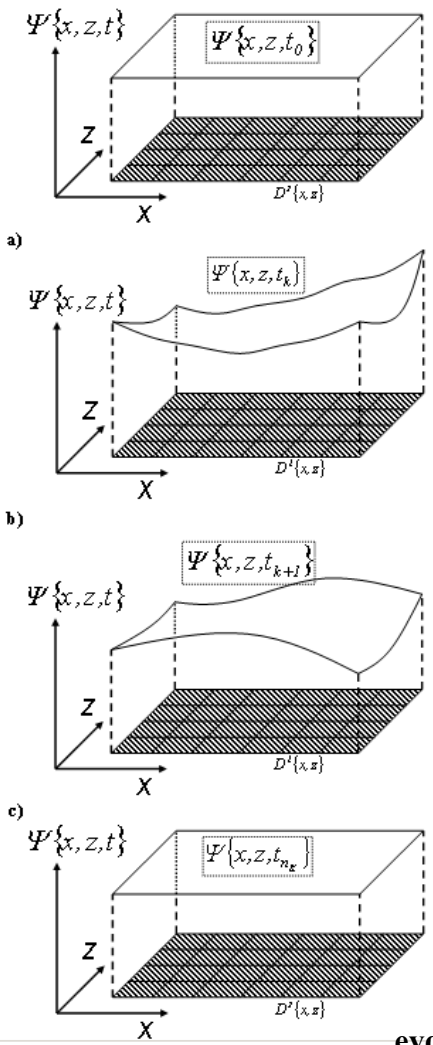
- Instead of the transient variable characteristics, that is “time-fingerprint” in the model, let us consider spatial resolution of the variable (or parameter) – “spatial fingerprint” to achieve time-averaged plasma distribution within a significantly shorter computational times



# “time-to-space” conversion of arbitrary variable

$$\Psi(x, z, t)$$

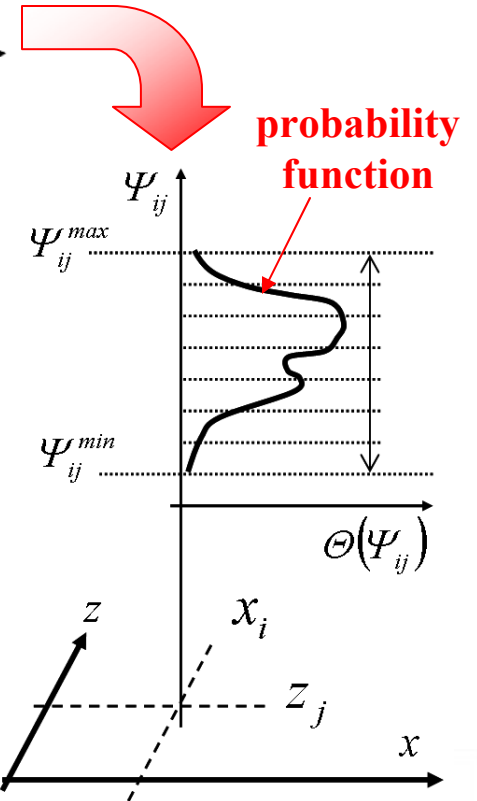
time-dependent variable



... the regular grid in numerical domain with nodal points, and corresponding transient dependencies of the time-dependent variable in each individual node ...

- Different parameters can be represented as “t-s” variable, for example

- the sheath voltage
- the source of contamination
- internal surface properties
- etc.,.....



... geometrical relation of the probability function in respect to nodal point ...

... evolution of time-dependent variable in computational domain through the sequence a), ... b), c), ..., and d) ...





## Sheath voltage

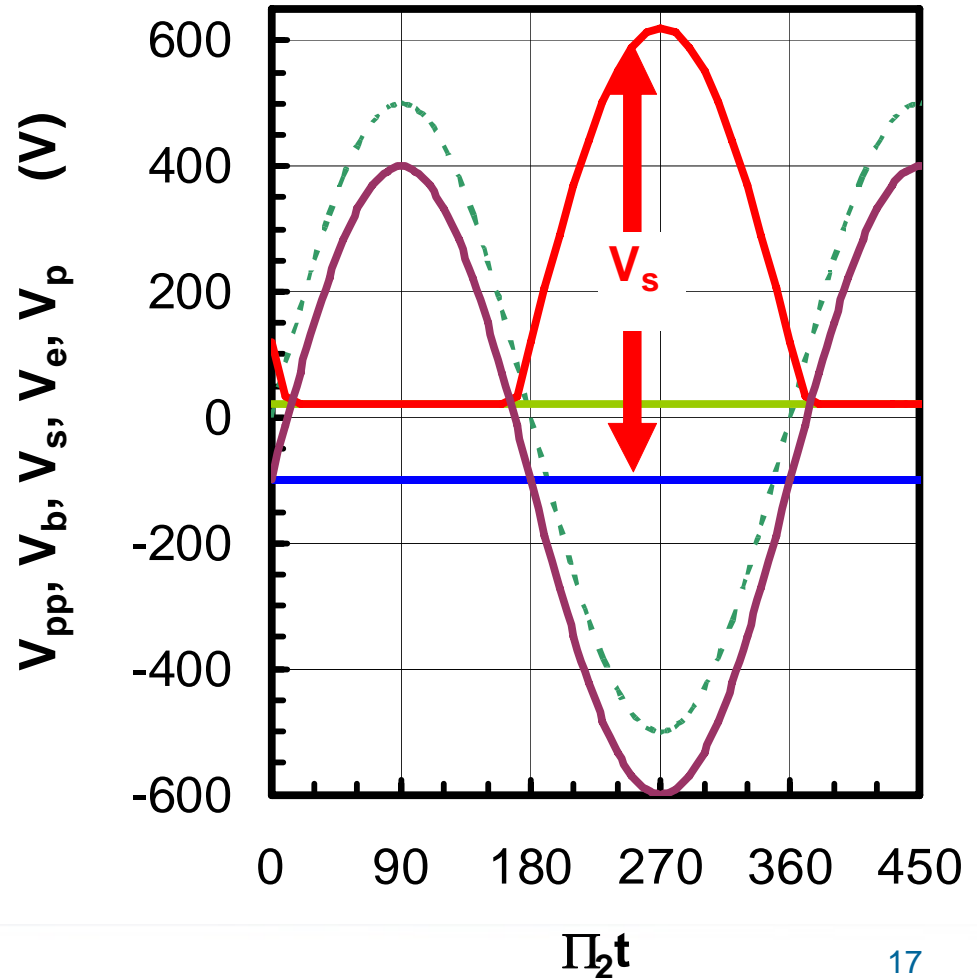
$$V_{sh}(t) \rightarrow V_{sh}(x,z)$$

- Transient-to-spatial conversion is applied to sheath properties –  $V_{sh}(t)$ 
  - Generation of the probability function

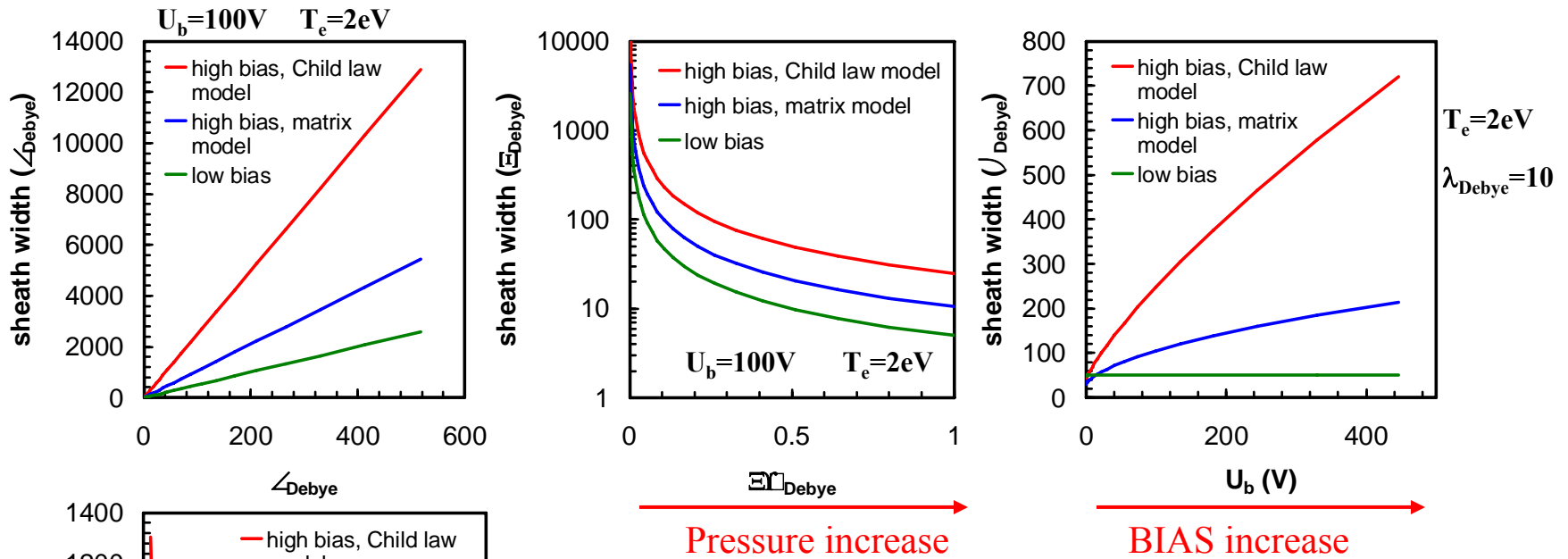
$$V_s = [V_p - V_{el}]_{\omega_2 < \omega_i} = \max\{V_p, V_p - [V_{RF} \sin(\omega_2 t) - |U_b|]\}$$

- Randomize the effect: “*infinitively small*” spatial periods (about 0.1mm << grid dimensions << sheath or plasma dimensions)
- Formally – equivalent to probing “plasma-sheath interface” properties randomly in time and space >> T<sup>2</sup>MHz

- Vpp generator
- Vp plasma
- Ve electrode
- Vb selfbias
- Vs sheath



# Coupling with sheath & plasma parameters according various sheath models ...



- Sheath properties coupled to many plasma parameters
- Behaviour of plasma sheath – sheath voltage, current, etc are described by various models (colisional vs non-colisional, ...)

$$x_s = \gamma_s \lambda_{De}$$

Floating wall

$$x_s = 1.41 \left[ \gamma_s + \frac{e|U_b|}{k_B T_e} \right]^{1/2} \lambda_{De}$$

Matrix model

$$x_s = 1.225 \left( \gamma_s + \frac{e|U_b|}{k_B T_e} \right)^{3/4} \lambda_{De}$$

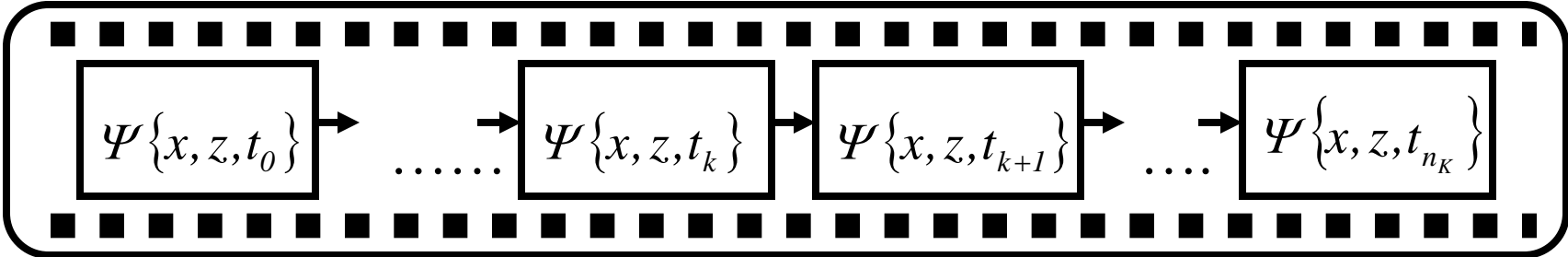
Child law sheath



# Advantage of the proposed method

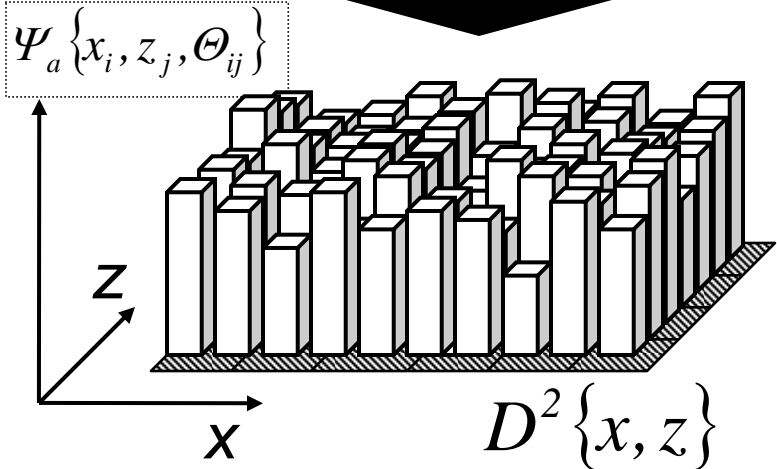
initial approach - transient solution over 2 MHz cycle

TRANSIENT PDE SOLUTION



- New approach does not require transient solution over the 2<sup>nd</sup> frequency (2 MHz)

- Instead of multiple frames above – just one frame



QUASISTOCHASTIC STEADY STATE PDE SOLUTION





**Transient-to-stochastic conversion algorithm**

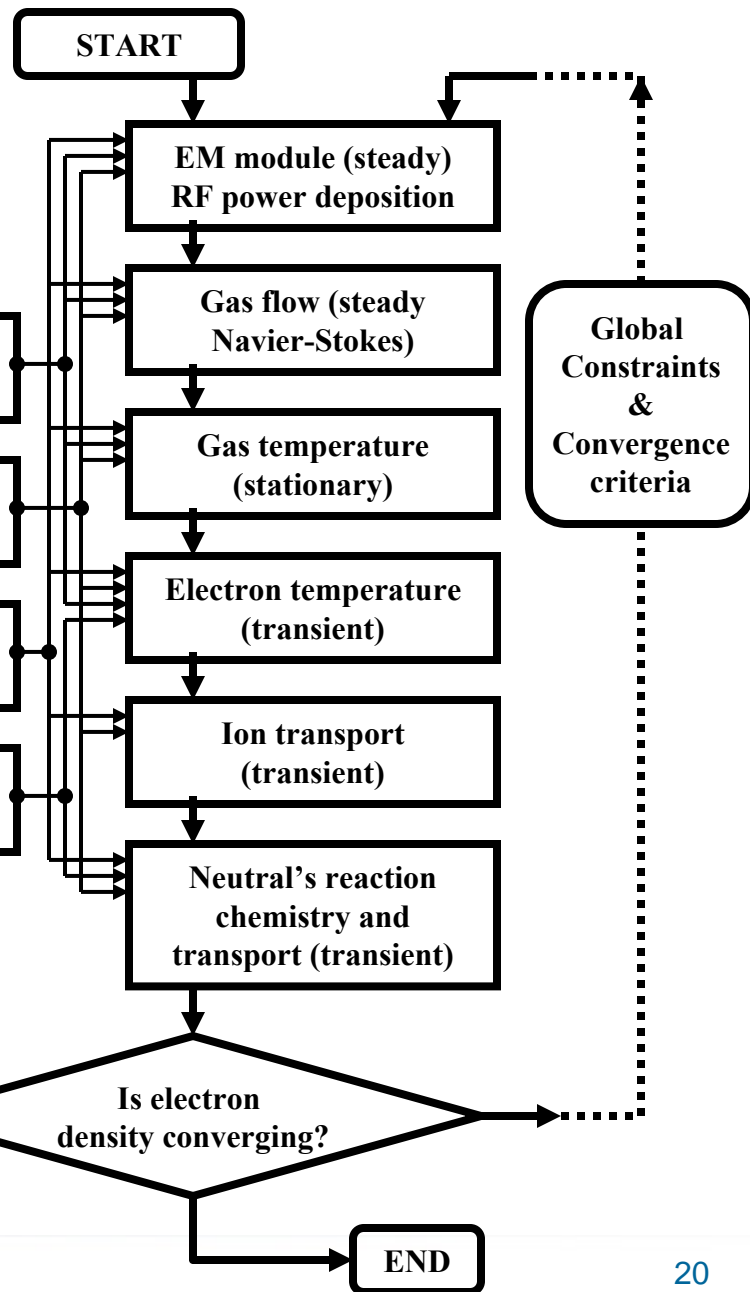
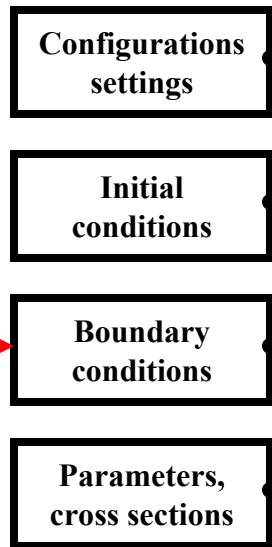
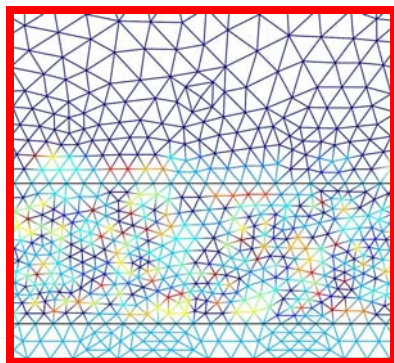
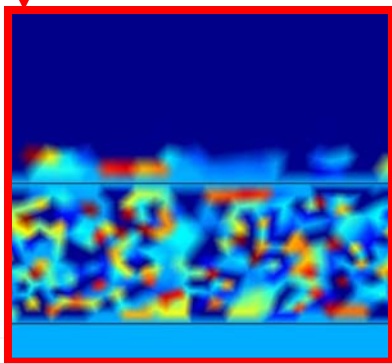
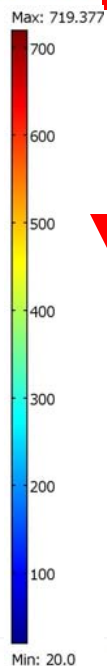
**Coupled model fast scheme numerical implementation**

plasma



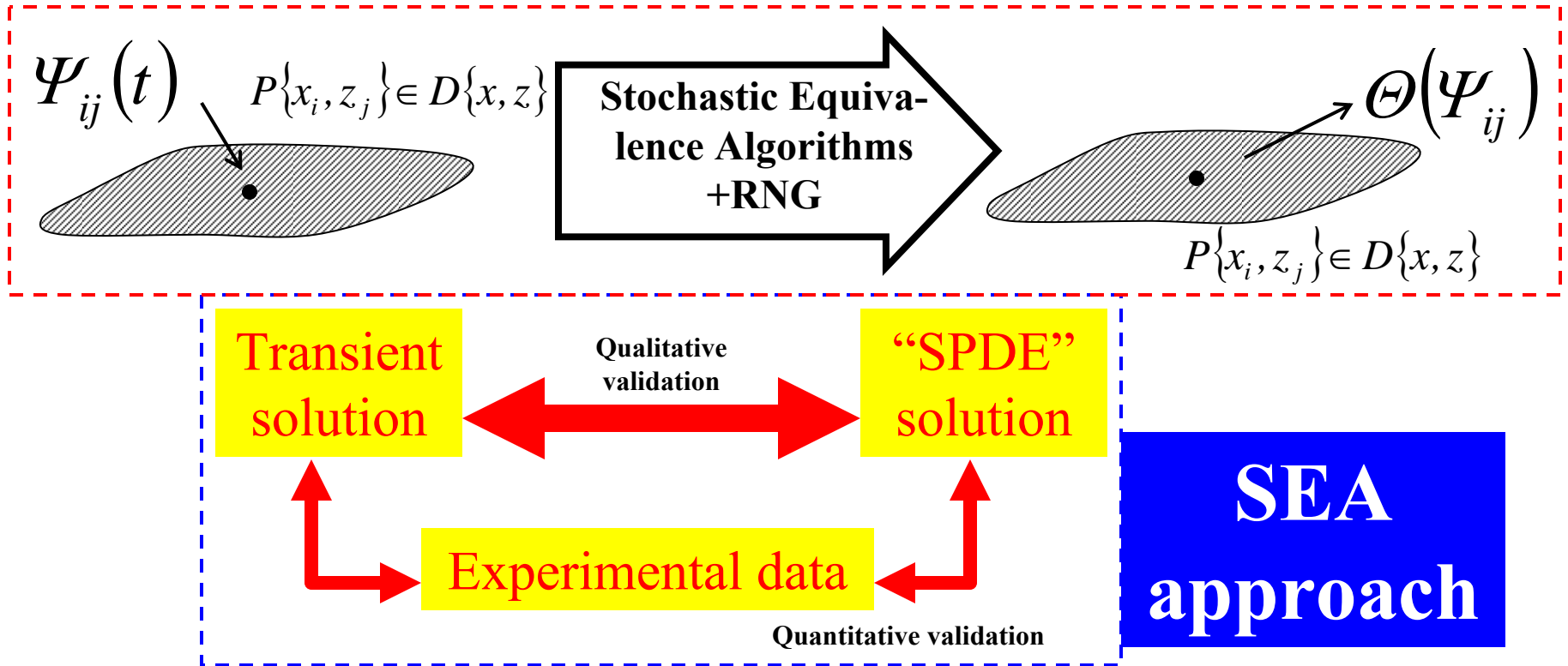
**“stochastic” sheath**

- Stochastic behaviour is assigned to  $V_{sheath}$  by converting the transient values into spatial noise and linking to the nodes of numerical grid



## implementation of the proposed method

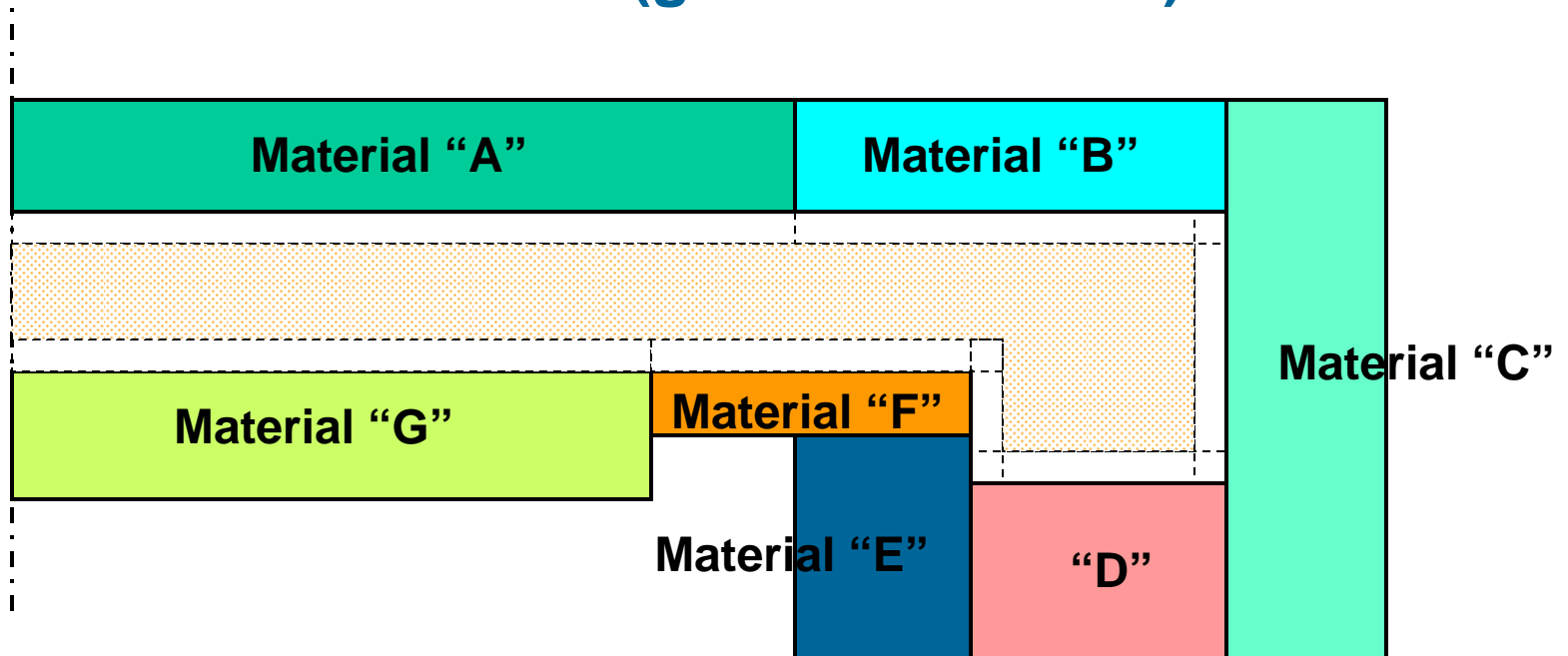
- New method should generate solution that is equivalent to the transient solution ...



- Implementation will require to validate SPDE model vs. Transient Solution (TS) and vs experimental results



## Impact on sheath domain assignments (generic chamber)



- **Geometry condition for selfbias determination and sheath formulation:**
  - Each material with surface exposed to plasma has assigned geometrically congruent sheath

## Status of Computation with Proposed Method

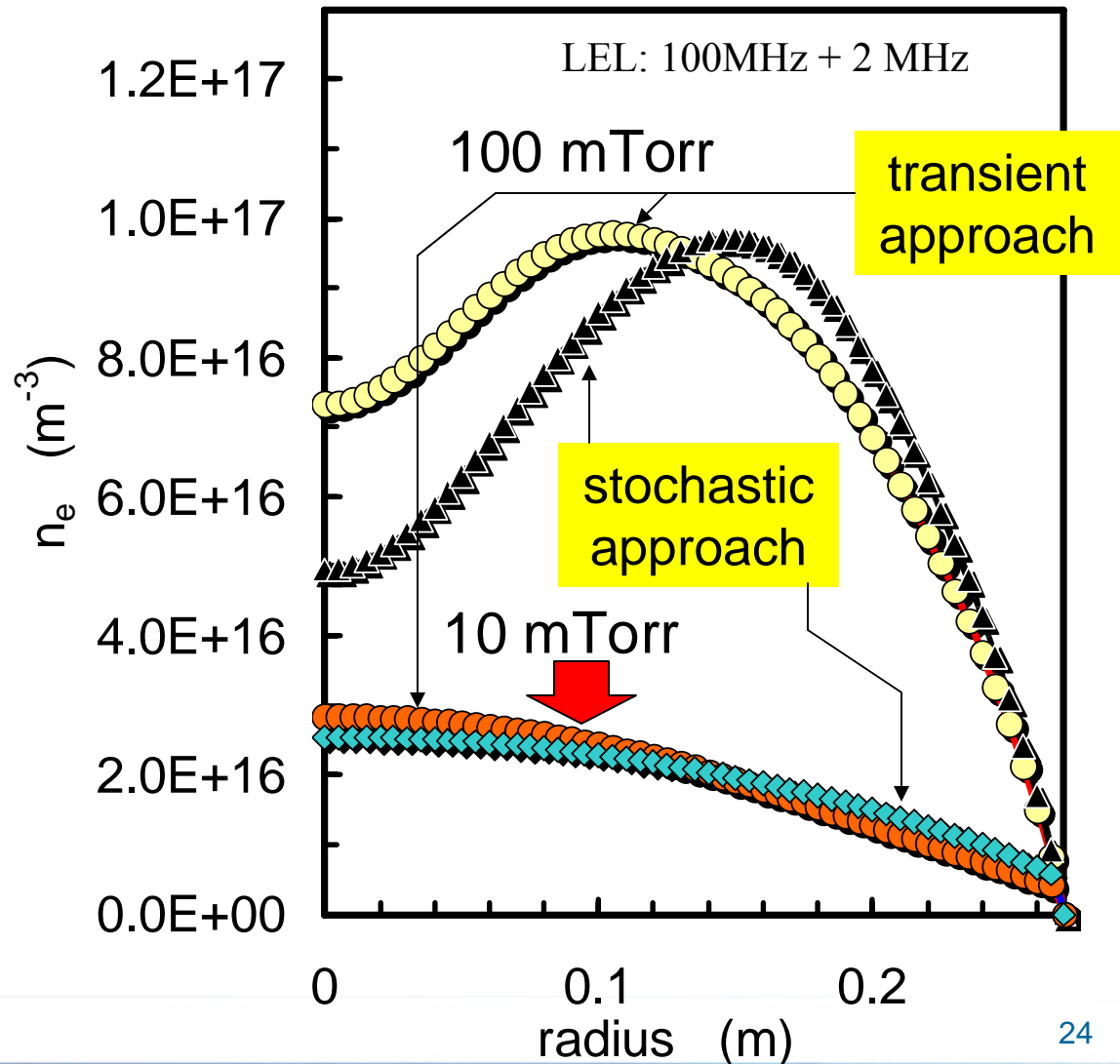
- **Implemented simple version of stochastic sheath-plasma interface behaviour**

- Model is numerically stable and convergent
- It is by order faster to calculate dual frequency system than equivalent transient simulations

- Provides an ability to execute many DOE series to investigate DF CCP performance at high savings on the time resources: **EXAMPLES FOLLOW ON NEXT SLIDES**

## Validation transient & stochastic methods

- Plasma distributions at various pressures by both methods:
  - 10 mTorr
  - 100 mTorr
- low pressure exhibited very good correlation
- Increased pressure – qualitative trends are well observed
- Computational time resources – great advantage for stochastic method

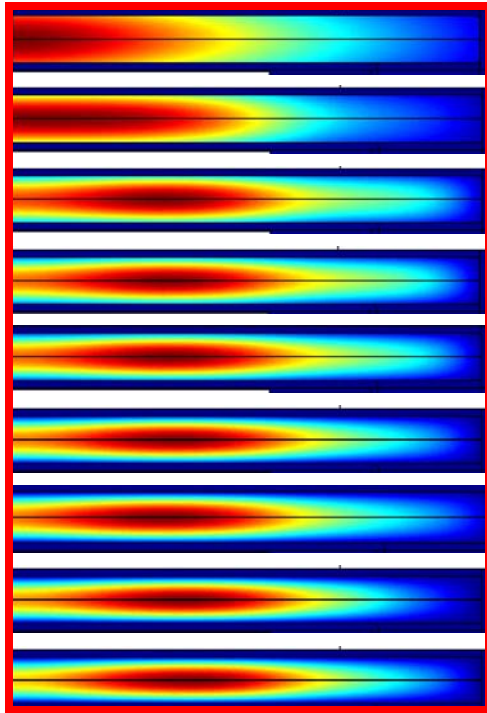




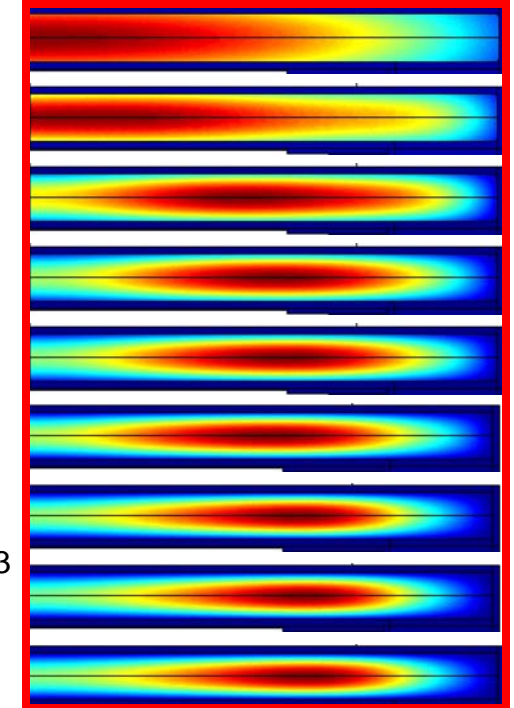
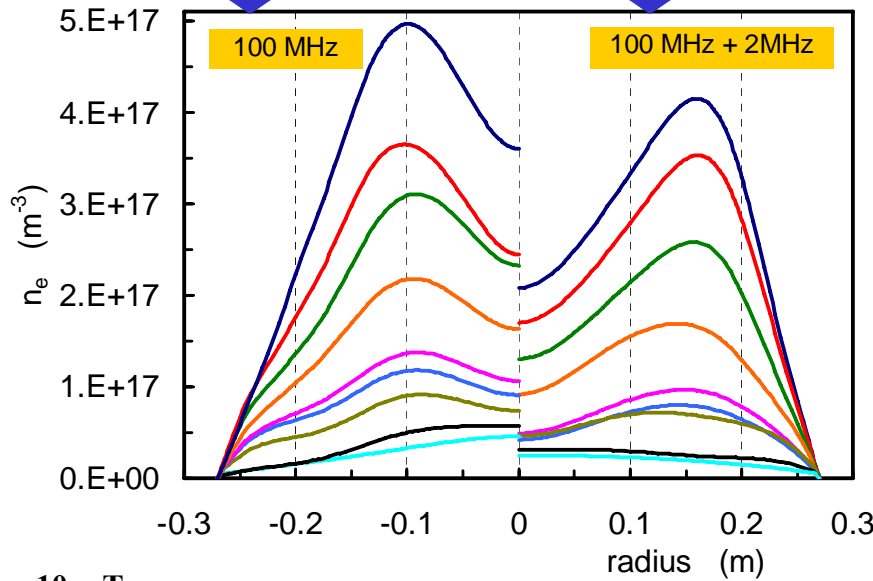
# Dual frequency model: electron density vs pressure

@ 100 MHz (single frequency)

@ 100 MHz + 2 MHz (dual frequency)



0-max( $n_e$ ) scale



0-max( $n_e$ ) scale

- 10 mTorr
- 25 mTorr
- 50 mTorr
- 75 mTorr
- 100 mTorr
- 200 mTorr
- 300 mTorr
- 400 mTorr
- 500 mTorr

• **Single frequency : 100 MHz – trend is confirmed by experimental data**

• **DF : 100 MHz + 2 MHz - Computed by stochastic method applied to sheath voltage indicates is more off-center distribution – impact on nonuniformity**

**Stochastic method**

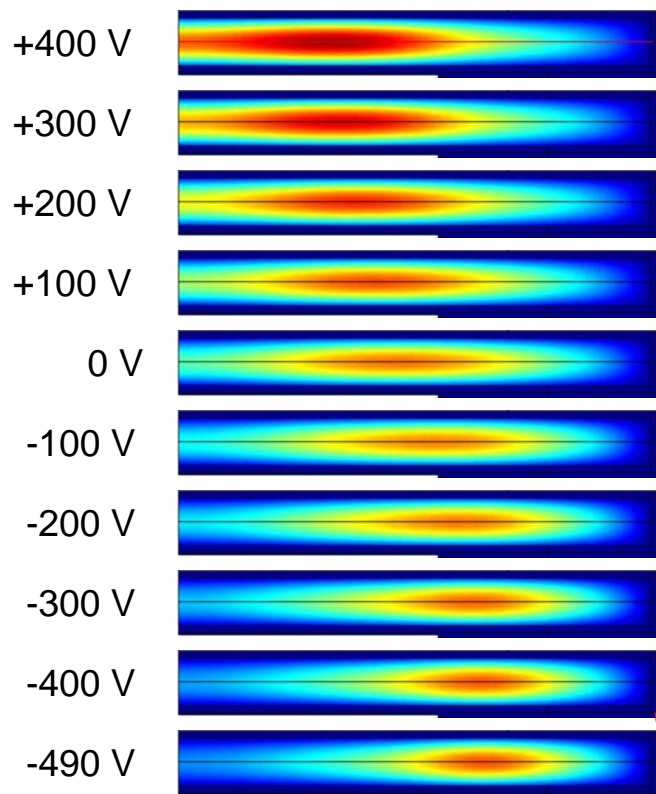




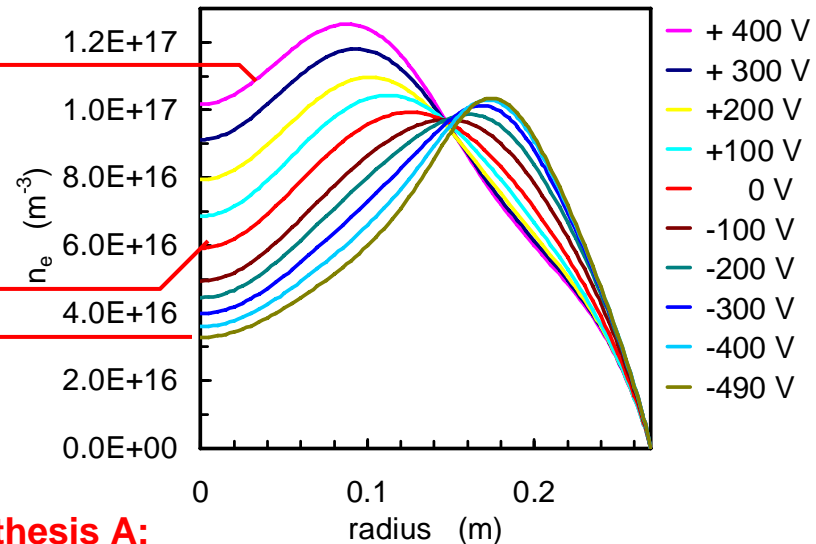
# Plasma density distribution in dual frequency CCP: Stochastic method

## Impact of selfbias $V_b$ ( $f_1=100$ MHz, $f_2=2$ MHz)

- only selfbias change  $V_b$  from -500 V to +500 V;
- Other parameters are kept constant ( $V_{pp} = 500$  V,  $p = 100$  mTorr)



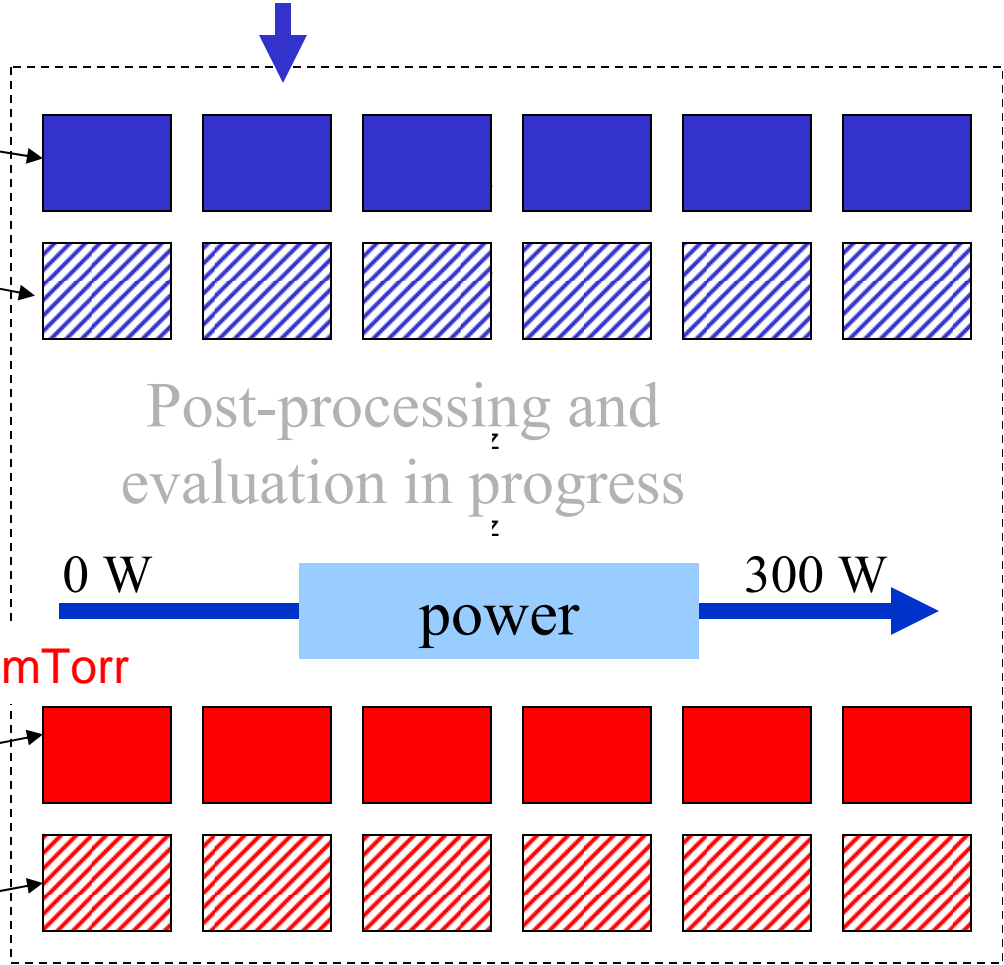
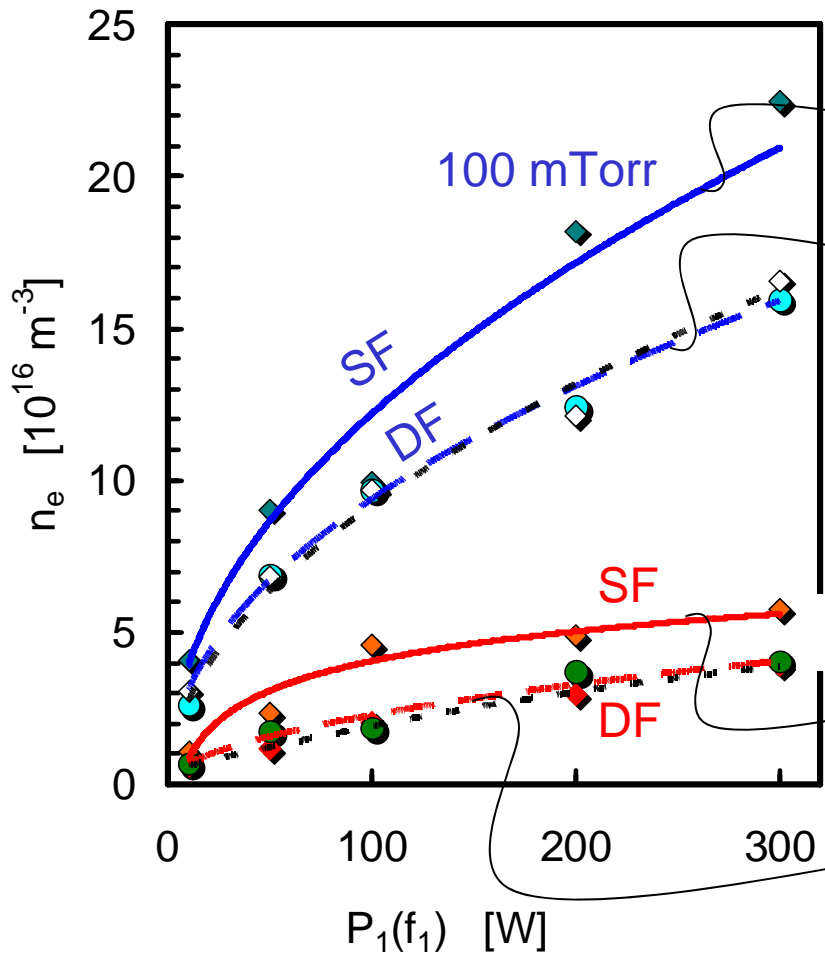
- Selfbias & the 2<sup>nd</sup> frequency excitation have combined impact on  $n_e(r)$



- **Hypothesis A:**
  - High negative bias is repelling electrons from central area (more off center distribution), and increased sheath voltage is driving larger ion current from plasma
- **Hypothesis B:**
  - Forced positive bias  $V_b \Rightarrow$  more centered distribution but lower sheath voltage is produced (driving less current out of plasma)



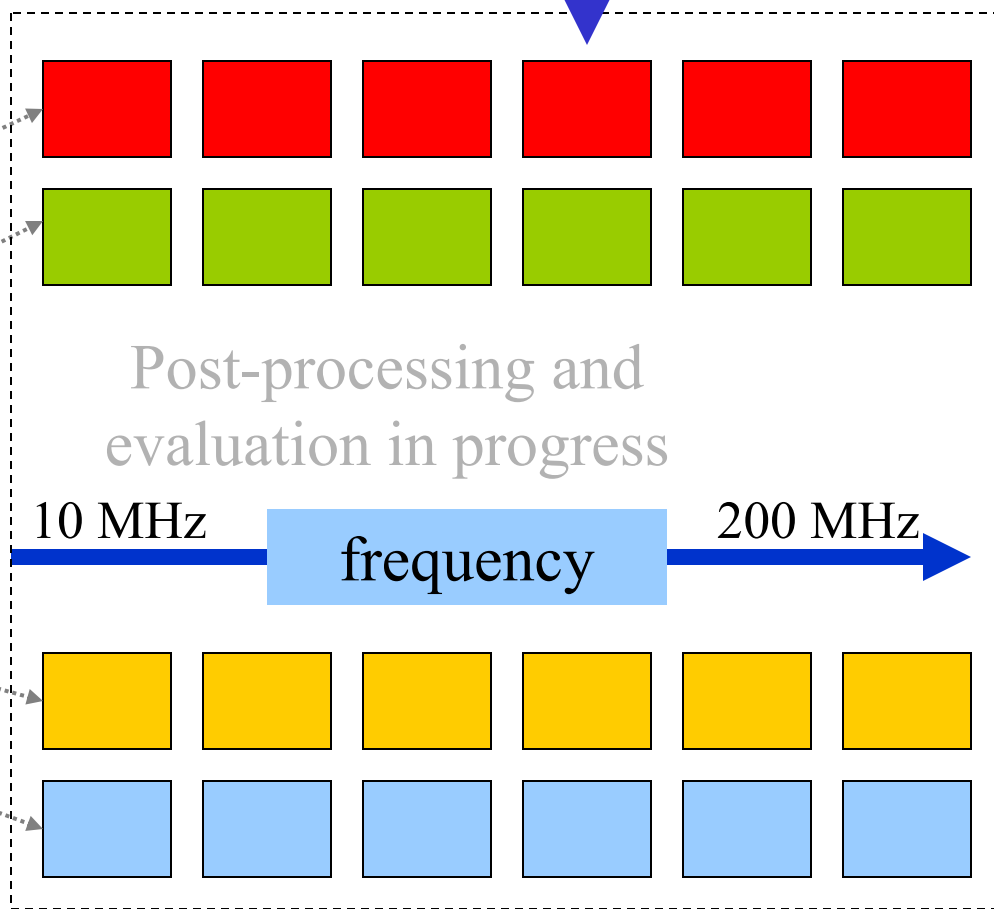
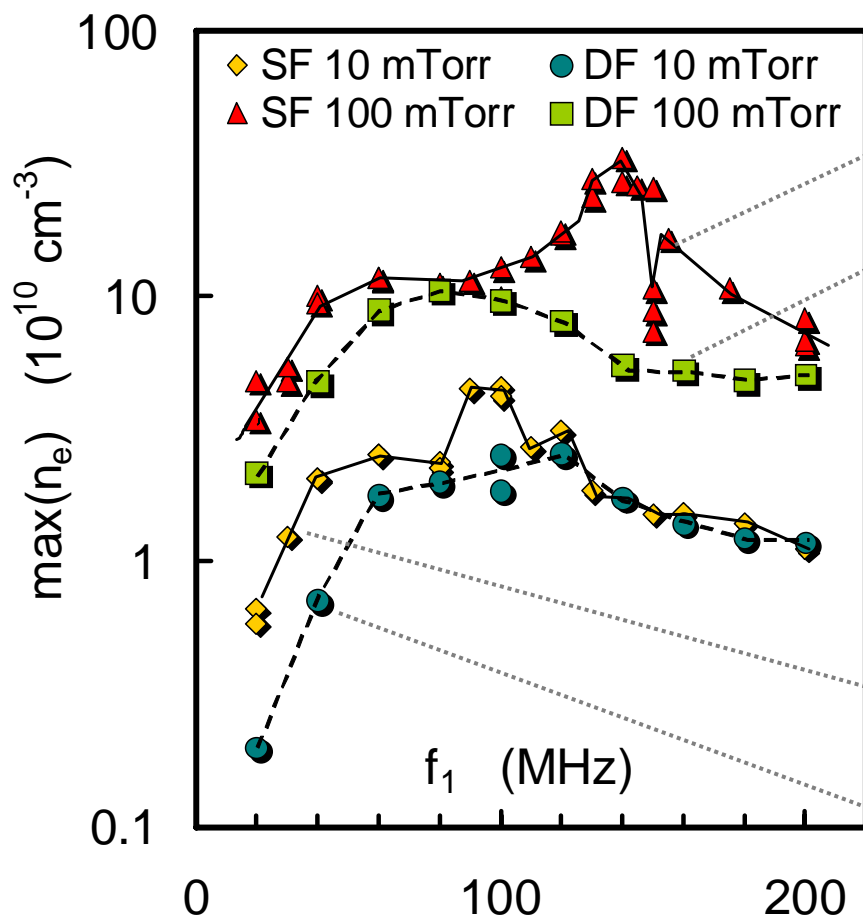
# Dual frequency vs power ( $P_1$ ) analysis plasma distribution



- Valuable predictive information



# Single & dual frequency models investigations *vs* $f_1$ plasma distribution – radial profiles



- Valuable insight & predictive inputs

## Conclusions

- Proposed and implemented fast approach in dual frequency CCP modeling
- Algorithm was validated against transient solution and has been confirmed with experimental data
- Predictions in a quite reasonable turn-around time
- Transient-to-stochastic FEM method has enhanced computation efficiency and may constitute an useful approach in technological development and optimization
- Possibility of the computational design of the experiment – generating virtual process results

**Better understanding of physics  
and technological aspects**

# Acknowledgement

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Also thanks to TEL ESBU process team for an opportunity to validate simulation against the experimental data.